

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. 681998-0001)

In the Application of:

Peter Gailer, et al.

Serial No.: 09/903,431

Filed: July 10, 2001

§ Group Art Unit: 2188
§
§
§ Examiner: Pierre M. Vital
§
§
§
§ Confirmation No. 8926
§

For: Data Access and Management System as Well as a Method for Data Access and Data Management for a Computer System

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.10)	
I hereby certify that this correspondence is, on the date shown below, being:	
<input checked="checked" type="checkbox"/> deposited with the United States Postal Service with sufficient postage as "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 and addressed to Mail Stop: Amendment, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 "Express Mail" Label No. EL843249389US	<input type="checkbox"/> transmitted by facsimile to the Patent and Trademark Office.
Date: <u>4/8/2005</u>	Signature: <u><i>Kelly Breeze</i></u> Kelly Breeze (type or print name of person certifying)

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

**PETITION AND FEE TO DELETE TO ORIGINAL ERRONEOUSLY
NAMED INVENTORS IN DECLARATION (37 CFR 1.48(a))**

1. This petition is to correct the incorrect original naming of inventors in the declaration under 37 CFR 1.48(a) of patent Application No. 09/903431 as set forth and filed on July 10, 2001.

2. Deletion of Inventor: Delete the following previously named people as inventors of the above-identified application:

--Peter Gailer--

--Joachim Günster--.

3. Attachments:

(a) A statement from Peter Gailer that the error in inventorship occurred without deceptive intention on his part. 37 CFR 1.48(a)(1).

(b) A statement from Joachim Günster that the error in inventorship occurred without deceptive intention on his part 37 CFR 1.48(a)(1).

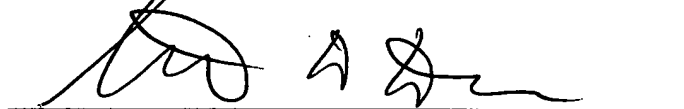
(c) a Declaration by the actual inventor as required by 37 CFR 1.63. 37 CFR 1.48(a)(2).

4. Fee Payment (37 CFR 1.17(i)). 37 CFR 1.48(a)(3).

A check for the sum of \$130.00.

Also, charge Deposit Account No. 010657 for any additional fees required in conjunction with the amendment and petition.

Respectfully submitted,



Stuart D. Dwork
Reg. No. 31,103
Ashley N. Moore
Reg. No. 51,667
ATTORNEY FOR APPLICANTS

Date: 4/8/05

Akin, Gump, Strauss, Hauer & Feld, L.L.P.
1700 Pacific Avenue, Suite 4100
Dallas, TX 75201-4675
Tel. No.: (214) 969-2800
Fax No.: (214) 969-4343



PTO/SB/17i (11-04)
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PROCESSING FEE
Under 37 CFR 1.17(i)
TRANSMITTAL

(Fees are subject to annual revision)

Send completed form to: Commissioner for Patents
P.O. Box 1450, Alexandria, VA 22313-1450

Application Number	09/903431
Filing Date	07/10/2001
First Named Inventor	Peter Gailer, Ingolstadt
Art Unit	2188
Examiner Name	VITAL, PIERRE M
Attorney Docket Number	681998-0001

Enclosed is a paper filed under 37 CFR 1.48(a) that requires a processing fee (37 CFR 1.17(i)).
Payment of \$130.00 is enclosed.

This form should be included with the above-mentioned paper and faxed or mailed to the Office using the appropriate Mail Stop, if applicable. For transmittal of petition fees under 37 CFR 1.17(f), (g) or (h), see form PTO/SB/17p.

Payment of Fees (small entity amounts are NOT available for the petition fees)

☐ The Commissioner is hereby authorized to charge the following fees to Deposit Account No. _____:

☐ processing fee under 37 CFR 1.17(i) ☐ any deficiency of fees and credit of any overpayments

Enclose a duplicative copy of this form for fee processing.

☒ Check in the amount of \$130.00 is enclosed.

☐ Payment by credit card (Form PTO-2038 or equivalent enclosed). Do not provide credit card information on this form.

Processing Fees under 37 CFR 1.17(i): Fee \$130 Fee Code 1808 for all,
Except for § 1.221 papers (Fee Code 1803)

For papers filed under:

§ 1.28(c)(3) - for processing a non-itemized fee deficiency based on an error in small entity status.

§ 1.41 - for supplying the name or names of the inventor or inventors after the filing date without an oath or declaration as prescribed by § 1.63, except in provisional applications.

§ 1.48 - for correcting inventorship, except in provisional applications.

§ 1.52(d) - for processing a nonprovisional application filed with a specification in a language other than English.

§ 1.53(b)(3) - to convert a provisional application filed under § 1.53(c) into a nonprovisional application under § 1.53(b).

§ 1.55 - for entry of late priority papers.

§ 1.99(e) - for processing a belated submission under § 1.99.

§ 1.103(b) - for requesting limited suspension of action, continued prosecution application (§ 1.53(d)).

§ 1.103(c) - for requesting limited suspension of action, request for continued examination (§ 1.114).

§ 1.103(d) - for requesting deferred examination of an application.

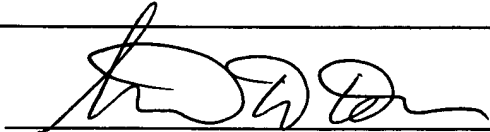
§ 1.217 - for processing a redacted copy of a paper submitted in the file of an application in which a redacted copy was submitted for the patent application publication.

§ 1.221 - for requesting voluntary publication or republication of an application. **Fee Code 1803**

§ 1.291(c)(5) - for processing a second or subsequent protest by the same real party in interest.

§ 1.497(d) - for filing an oath or declaration pursuant to 35 U.S.C. 371(c)(4) naming an inventive entity different from the inventive entity set forth in the international stage.

§ 3.81 - for a patent to issue to assignee, assignment submitted after payment of the issue fee.



Signature
STUART D. DWORK

Typed or printed name

4/8/05

Date
31,103

Registration No., if applicable

This collection of information is required by 37 CFR 1.17. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 5 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Attorney Docket No.
681998-0001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Peter Gailer et al.

Group Art Unit: 2188

Serial No: 09/903431

Examiner: VITAL, PIERRE M

Filed: 07/10/2001

For: DATA ACCESS AND MANAGEMENT SYSTEM AS WELL AS A METHOD FOR DATA
ACCESS AND DATA MANAGEMENT FOR A COMPUTER SYSTEM

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☐ transmitted by facsimile to the
Patent and Trademark Office.

Date: 4/8/2005

Signature

Kelly Breeze
(type or print name of person certifying)

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

STATEMENT OF INVENTOR JOACHIM GÜNSTER IN
SUPPORT OF PETITION TO CORRECT INVENTORSHIP

I, Joachim Günster, hereby state:

1. I have reviewed the above-identified application, filed July 10, 2001 in the above-
identified application.

2. In or about 2004, Sept. I first became aware that the application incorrectly included me as an inventor.

3. This was an error that occurred without deceptive intent on my part.

3, 21, 2005
(Date)


Joachim Günster



Attorney Docket No.
681998-0001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Peter Gailer et al.

Group Art Unit: 2188

Serial No: 09/903431

Examiner: VITAL, PIERRE M

Filed: 07/10/2001

For: DATA ACCESS AND MANAGEMENT SYSTEM AS WELL AS A METHOD FOR DATA
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Patent and Trademark Office.

"Express Mail" Label No. E184324938905

Signature

Date: 4/8/2005

Kelly Breeze
(type or print name of person certifying)

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

STATEMENT OF INVENTOR PETER GAILER IN
SUPPORT OF PETITION TO CORRECT INVENTORSHIP

I, Peter Gailer, hereby state:

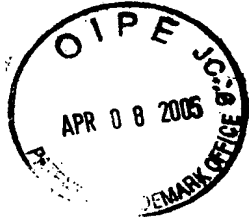
1. I have reviewed the above-identified application, filed July 10, 2001 in the above-
identified application.

Pg.

2. In or about 2004, Sept, I first became aware that the application incorrectly included me as an inventor.
3. This was an error that occurred without deceptive intent on my part.

3/21/2005
(Date)

Peter Gailer
Peter Gailer



Attorney Docket No. 681998-0001

DECLARATION AND POWER OF ATTORNEY
(Original Application)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**DATA ACCESS AND MANAGEMENT SYSTEM AND DATA ACCESS AND
MANAGEMENT METHOD FOR A COMPUTER SYSTEM**

the specification of which is attached hereto and/or was filed on July 10, 2001 as Application No. 09/903431.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to herein.

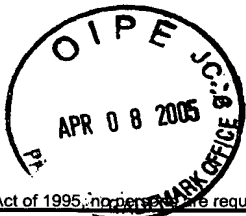
I acknowledge the duty to disclose information which is material to patentability in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

FOREIGN PRIORITY APPLICATION(S)

<u>19900636.9</u>	<u>Germany</u>	<u>11/01/1999</u>	Priority Claimed
(Number)	(Country)	(Day/month/year filed)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<u> </u>	<u> </u>	<u> </u>	<input type="checkbox"/> Yes <input type="checkbox"/> No
(Number)	(Country)	(Day/month/year filed)	

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional patent application(s) listed below and have also identified below any United States provisional patent application(s) having a filing date before that of the application on which priority is claimed:



PTO/SB/82 (09-04)

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**REVOCATION OF POWER OF
ATTORNEY WITH
NEW POWER OF ATTORNEY
AND
CHANGE OF CORRESPONDENCE ADDRESS**


Application Number	09/903,431
Filing Date	07-10-2001
First Named Inventor	Peter Gailer, Ingolstadt
Art Unit	2188
Examiner Name	VITAL, PIERRE M
Attorney Docket Number	681998-0001

I hereby revoke all previous powers of attorney given in the above-identified application.☐ A Power of Attorney is submitted herewith.**OR**☐ I hereby appoint the practitioners associated with the Customer Number: ☐ Please change the correspondence address for the above-identified application to:☐ The address associated with
Customer Number: **OR**

<input checked="" type="checkbox"/> Firm or Individual Name	Stuart D. Dwork				
Address	1700 Pacific Ave.				
City	Dallas	State	Texas	Zip	75201
Country	USA				
Telephone	214-969-2751		Fax		

I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)**SIGNATURE of Applicant or Assignee of Record**

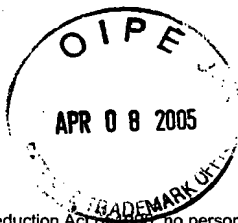
Signature			
Name	GUENSTER JOACHIM CEO ALTECHNOLOGIES S.A.		
Date	MARCH 18, 2005	Telephone	449-172-5384702

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ *Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/96 (09-04)
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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Peter Gailer et al.

Application No./Patent No.: 09/903,431 Filed/Issue Date: 7-10-2001

Entitled: DATA ACCESS AND MANAGEMENT SYSTEM AS WELL AS A METHOD FOR DATA ACCESS AND DATA MANAGEMENT FOR A COMPUTER SYSTEM

AC Technologies SA, a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

A ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

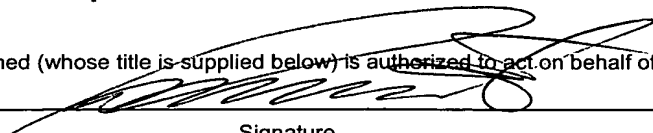
1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature

4/5/2005

Date

Joachim Gunster

Printed or Typed Name

+49-172-5384702

Telephone Number

Chief Executive Officer

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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